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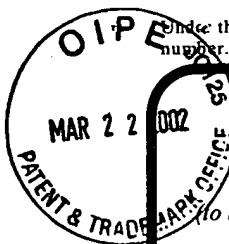
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OBM 0651.0031

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

6

Application Number

10/044,581

Filing Date

October 23, 2001

First Named Inventor

Timothy Gayle Goux

Group Art Unit

2165

Examiner Name

Attorney Docket Number

CARE1

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Return Postcard; Preliminary Amendment

Remarks:

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Gregory S. Smith
TROUTMAN SANDERS LLP

Signature

Date

March 22, 2002

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Patents

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)

GOUX, Timothy Gayle)

Serial No.: 10/044,581)

Filed: October 23, 2001)

For: SYSTEM AND METHOD FOR)
IMPROVING THE OPERATION)
OF A BUSINESS ENTITY AND)
MONITORING AND)
REPORTING THE RESULTS)
THEREOF)

Group Art Unit: 2165

Examiner: Unassigned

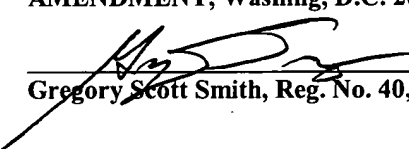
Atty. Docket No. CARE1
(8116.110574)

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Gregory Scott Smith, Reg. No. 40,819

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PRELIMINARY AMENDMENT

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Dear Sir:

Prior to the first Office Action, please amend the above-identified patent application
as follows:

IN THE SPECIFICATION:

On page 1 line 5, after the title "A SYSTEM AND METHOD FOR IMPROVING THE
OPERATION OF A BUSINESS ENTITY AND MONITORING AND REPORTING THE
RESULTS THEREOF" insert --RELATED APPLICATIONS